

Draft Internal Audit Plan, 2017/18

Directorate/Department: Children & Young People

Service Area	Subject	Type of Audit
21st Century Schools		Audit Advice
Achievement & Attainment	Education Improvement Grant	Grant Claim Audit
Achievement & Attainment	Pupil Deprivation Grant	Grant Claim Audit
Achievement & Attainment	Outside School Childcare Grant	Grant Claim Audit
Achievement & Attainment	Special Educational Needs	Completion of Work in Progress
Achievement & Attainment	Monitoring Implementation of Audit Recommendations	Follow-up
Achievement & Attainment		Audit Advice
Schools	Mounton House Special School	New Audit
Schools	Deri View Primary School	New Audit
Schools	Thornwell Primary School	New Audit
Schools	Osbaston Primary School	New Audit
Schools	Overmonnow Primary School	New Audit
Schools	Cross Ash Primary School	New Audit
Schools	Trellech Primary School	New Audit
Schools	The Dell Primary School	Finalisation of Report
Schools	Raglan Primary School	Finalisation of Report
Schools	Pembroke Primary School	Finalisation of Report
Schools	Our Lady & St. Michael's Primary School	Finalisation of Report
Schools	Ysgol Y Ffin Primary School	Finalisation of Report
Schools	Magor Primary School	Finalisation of Report
Schools	Chepstow School	Finalisation of Report

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Service Area	Subject	Type of Audit
Schools	Raglan Primary School	Follow-up
Schools	Monitoring Implementation of Audit Recommendations	Follow-up
Schools		Audit Advice
CYP Resources	School Uniform Grant	Grant Claim Audit
CYP Resources	School Private Fund Accounts	New Audit
CYP Resources	School Admissions	Finalisation of Report
CYP Resources	Monitoring Implementation of Audit Recommendations	Follow-up
CYP Resources		Audit Advice

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Directorate/Department: Enterprise

Service Area	Subject	Type of Audit
Economy & Enterprise	Agri-Urban URBACT Scheme	Grant Claim Audit
Economy & Enterprise		Audit Advice
Tourism, Leisure & Culture	Borough Theatre Trust	Completion of Work in Progress
Tourism, Leisure & Culture	Leisure Centres Payroll Review	New Audit
Tourism, Leisure & Culture	Alternative Delivery Models	New Audit
Tourism, Leisure & Culture	Youth Service	New Audit
Tourism, Leisure & Culture	Sports Development	Finalisation of Report
Tourism, Leisure & Culture	Events	Follow-up
Tourism, Leisure & Culture		Audit Advice
Tourism, Leisure & Culture	Monitoring Implementation of Audit Recommendations	Follow-up
Planning	Community Infrastructure Levy/ s106	New Audit
Planning	Homelessness	Finalisation of Report
Planning	Monitoring Implementation of Audit Recommendations	Follow-up
Planning		Audit Advice

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Directorate/Department: Governance, Engagement & Improvement

Service Area	Subject	Type of Audit
Policy & Performance	National Performance Indicators	New Audit
Policy & Performance	Local Performance Indicators	New Audit
Policy & Performance		Audit Advice
Communications		Audit Advice
Community Led Delivery	Investment Property Acquisitions	New Audit
Community Led Delivery	Community Hubs	Finalisation of Report
Community Led Delivery	Markets	Follow-up
Community Led Delivery	Monitoring Implementation of Audit Recommendations	Follow-up
Community Led Delivery		Audit Advice
Partnerships/Whole Place	Whole Place/Community Governance	New Audit
Partnerships/Whole Place		Audit Advice
Local Democracy	Members' Expenses & Allowances	New Audit
Local Democracy		Audit Advice
Scrutiny		Audit Advice

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Directorate/Department: Operations

Service Area	Subject	Type of Audit
Passenger Transport Unit	Monitoring Implementation of Audit Recommendations	Follow-up
Passenger Transport Unit		Audit Advice
SWTRA & Street Lighting	SWTRA Agreement	New Audit
SWTRA & Street Lighting		Audit Advice
Transport	Fuel Cards	Completion of Work in Progress
Transport	Monitoring Implementation of Audit Recommendations	Follow-up
Transport		Audit Advice
Property Services & Facilities	School Meals System (Parent Pay)	New Audit
Property Services & Facilities	Procurement	New Audit
Property Services & Facilities	Monitoring Implementation of Audit Recommendations	Follow-up
Property Services & Facilities		Audit Advice
Waste & Street Operations	Monitoring Implementation of Audit Recommendations	Follow-up
Waste & Street Operations		Audit Advice
Traffic Network	Kerbcraft	New Audit
Traffic Network	Streetworks	New Audit
Traffic Network		Audit Advice
Highways Operations		Audit Advice
Infrastructure Projects		Audit Advice

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Directorate/Department: Resources

Service Area	Subject	Type of Audit
People & Information Governance	Payroll	New Audit
People & Information Governance	Attendance Management	New Audit
People & Information Governance	Payroll 2016/17	Finalisation of Report
People & Information Governance	HR Policy Review	Finalisation of Report
People & Information Governance	Monitoring Implementation of Audit Recommendations	Follow-up
People & Information Governance		Audit Advice
Digital & Technology	Loan Laptops	New Audit
Digital & Technology	Monitoring Implementation of Audit Recommendations	Follow-up
Digital & Technology		Audit Advice
Finance	Creditor Payments System	New Audit
Finance	Procurement Cards	Completion of Work in Progress
Finance	Corporate Sundry Debtors	New Audit
Finance	Cashiers	New Audit
Finance	Budgetary Control	New Audit
Finance	NDR Transitional Reliefs	New Audit
Finance	Council Tax & NDR Systems	Finalisation of Report
Finance	Suspense Control & Holding Accounts	Finalisation of Report
Finance	Monitoring Implementation of Audit Recommendations	Follow-up
Finance		Audit Advice

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Directorate/Department: Social Care & Health

Service Area	Subject	Type of Audit
Integrated Services	Section 117 Mental Health Act	New Audit
Integrated Services	Social Services Wellbeing Act - Outcomes framework	New Audit
Integrated Services	My Day My Life	Follow-up
Integrated Services	Supporting People Grant	Grant Claim Audit
Integrated Services	Monitoring Implementation of Audit Recommendations	Follow-up
Integrated Services		Audit Advice
Children's Services	External Placements	Follow-up
Children's Services	Monitoring Implementation of Audit Recommendations	Follow-up
Children's Services		Audit Advice
Public Protection	Health & Safety	New Audit
Public Protection	Licensing	Finalisation of Report
Public Protection	Monitoring Implementation of Audit Recommendations	Follow-up
Public Protection		Audit Advice
Social Services Finance Unit		Audit Advice

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Directorate/Department: Corporate Audits

Service Area	Subject	Type of Audit
Cross Cutting	National Fraud Initiative	New Audit
Cross Cutting	Annual Governance Statement	New Audit
Cross Cutting	Counter Fraud Arrangements	New Audit
Cross Cutting	Wellbeing of Future Generations Act	New Audit
Cross Cutting	IT Procurement	Completion of Work in Progress
Cross Cutting	Risk Management	Finalisation of Report
Cross Cutting	Capital Receipts	Finalisation of Report
Cross Cutting	Capital Programme	Finalisation of Report
Cross Cutting	Volunteering 2016/17	Finalisation of Report
Cross Cutting	Mobile Phones 2016/17	Finalisation of Report
Cross Cutting	Compliance with Bribery Act 2016/17	Finalisation of Report
Cross Cutting	Safeguarding Arrangements	Follow-up
Cross Cutting	Compliance with Bribery Act	Follow-up
Cross Cutting	Volunteering	Follow-up
Cross Cutting	Mobile Phones	Follow-up
Cross Cutting	Monitoring Implementation of Audit Recommendations	Follow-up
Cross Cutting	Future Monmouthshire	Audit Advice